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Substitute for form 1449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Application No.	09/853,556
		Filing Date	May 11, 2001
		First Named Inventor	Laurence J. Newell
		Art Unit	2633
		Examiner Name	Jason Chan
Attorney Docket Number	20852-05134		
Sheet 1 of 1			

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Document No. Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
[Signature]	1	US-5,481,542	01-02-1996	Logston et al.
	2	US-5,612,806	03-18-1997	Su et al.

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>
[Signature]	3	JP09022038 A	01-21-1997	Kokusai Electric Co Ltd	
	4	JP09098155 A	04-08-1997	Matsushita Electric Ind Co Ltd.	

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T <sup>6</sup>
	5	Blumenthal, Daniel J. et al., "Fiber-Optic Links Supporting Baseband Data and Subcarrier-Multiplexed Control Channels and the Impact of MMIC Photonic/Microwave Interfaces," <i>IEEE Transactions on Microwave Theory and Techniques</i> (August 1997), Vol. 45, No. 8, pages 1443-1451.			
	6	Liew, Soung C. et al., "A Broad-Band Optical Network Based on Hierarchical Multiplexing of Wavelengths and RF Subcarriers," <i>Journal of Lightwave Technology</i> (November 1989), Vol. 7, No. 11, pages 1825-1838.			

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Examiner Signature	[Signature]	Date Considered	9/20/03
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20852/05134/DOCS/1346554.1

<b>FORM PTO-1449</b> (REV. 6-89)		U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office		Attorney's Docket No. <b>20852-05134</b>		Serial No. <b>09/853,556</b>	
<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)				Applicant <b>Laurence J. Newell et al.</b>		Filing Date <b>May 11, 2001</b>	
				Group Art Unit <b>2874</b>			

U.S. PATENT DOCUMENTS							
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
<i>AB</i>	4,061,577	12/6/77	Bell	250	199	8/18/76	
<i>AB</i>	4,701,904	10/20/87	Darcie	370	3		
<i>AB</i>	4,953,156	8/28/90	Olshansky et al.	370	3	9/8/88	
<i>AB</i>	5,351,148	9/27/94	Maeda et al.	359	124	5/26/93	
<i>AB</i>	5,387,927	2/7/95	Look et al.	348	6	9/17/93	
<i>AB</i>	5,430,568	06/04/95	Little et al.	359	124		
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<i>AB</i>	6,078,412	06/20/00	Fuse et al.	359	124	10/03/96	
<i>AB</i>	6,118,566	09/12/00	Price	359	181	11/04/98	

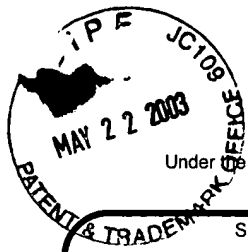
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		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
<i>AB</i>		EP 0 717 521 A	06/19/96	EP			No	
<i>AB</i>		EP 0 756 393 A1	01/29/97	EP			No	

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<i>AB</i>	Alcatel, "Alcatel 1680 SM 10 Gbps (STM-64) Add Drop Multiplexer", copyright 1997 (printed from <a href="http://www.alcatel.com/telecom/mbd/products/products/1680sm.htm">http://www.alcatel.com/telecom/mbd/products/products/1680sm.htm</a> on May 24, 1999), pp. 1-4
<i>AB</i>	Alcatel, "Alcatel 1692 SM 10 Gbps (OC-192) SONET Transport System", copyright 1997 (printed from <a href="http://www.alcatel.com/telecom/mbd/products/products/1692.htm">http://www.alcatel.com/telecom/mbd/products/products/1692.htm</a> on May 24, 1999), pp. 1-2
<i>AB</i>	Alcatel, "The OPTINEX Family of Network Elements", copyright 1997 (printed from <a href="http://www.alcatel.com/telecom/tsd/products/family.htm">http://www.alcatel.com/telecom/tsd/products/family.htm</a> on May 24, 1999), pp. 1-7

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<i>JS</i>	1	US-6,078,412 A	06-20-2000	Fuse et al.

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20852/05134/DOCS/1349648.1

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Patent and Trademark Office

Attorney's Docket No.

20852-05134

Serial No.

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## INFORMATION DISCLOSURE CITATION

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Applicant

Laurence J. Newell et al.

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## FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No

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<i>AB</i>	Ryan, J., "WDM: North American Deployment Trends," <u>IEEE Communications Magazine</u> , February 1998, pp. 40-44.
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<i>AB</i>	Swaminathan, V., N. Froberg, L. Upadhyayula, "The end-to-end bit error performance of 64-quadrature amplitude modulated signals in a hybrid AM-vestigial sideband/QAM fiber-optic video transmission system", <u>Proceedings of SPIE-International Society for Optical Engineering</u> , Volume 2917, pp. 274-282
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<i>AB</i>	Tang, D., "Multi-Gigabit Fiber-Optic Video Distribution Network Using BPSK Microwave Subcarriers", <u>IEEE 1989 MTT-S Intl. Microwave Symp Digest</u> , June 13-15, 1989, Long Beach, CA, Volume 2, pp. 697-701
<i>AB</i>	Wilson, G, "Capacity of QAM SCM systems utilising optically linearised Mach-Zehnder modulator as transmitter", <u>Electronic Letters</u> , Vol. 34, No. 25, December 10, 1998, pp. 2372-2374

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	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No

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<i>AB</i>	Fuse, M., Y. Kudo, K. Maeda, "Development of 128 Optical Distribution System of 150 chs AM/QAM Hybrid Signals", <u>Electronics and Communications in Japan</u> , November 1996, Volume 79, Issue 11, Part 1, pp. 65-77
<i>AB</i>	Green, P., "Fiber Optic Networks", 1993, Prentice-Hall, Inc., Englewood Cliffs, New Jersey, p. 331, line 4 - line 7, figure 9-1
<i>AB</i>	Hiramatsu, A. et al., "Hypermedia Photonic Information Network Based on WDM-SCM Broadcast and Select Switching," Conference Proceedings, Leos '96 9 <sup>th</sup> Annual Meeting, IEEE Lasers and Electro-Optics Society 1996 Annual Meeting (Cat. No. 96CH35895), Boston, MA, November 18-19, 1996, pp. 312-313
<i>AB</i>	Hitachi Telecom (USA) Inc., "OC-192 AMN5192 Sonet Node," July 1998, pp. 1-2
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